



**Hong Kong Securities**

The following charges with "HKD" settlement

PARTICULARS		CHARGES	
<b>Transaction Fees</b>			
Brokerage	0.25% of transaction amount, minimum fee of HK\$100.00		
Stamp Duty	0.1% of gross value of transaction, round up to the nearest HK\$1.00 (on behalf of the HKSAR Government)		
Transaction Levy	0.0027% of gross value of transaction (on behalf of SFC)		
Trading Fee	0.005% of gross value of transaction (on behalf of SEHK)		
Clearing Fee	0.005% of gross value of transaction, minimum fee of HK\$5.00 (included CCASS charges)		
Italian Financial Transaction Tax (IFTT) (Only applies to BUY transactions) (Collected for Italian Government)	0.1% of the net purchase amount (Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible Italian companies listed in Hong Kong, effective from 26 <sup>th</sup> September 2016)		
<b>Nominee Service and Corporate Action Fees</b>			
Cash Dividend Collection	Handling Fee	0.5% of dividend amount, minimum fee of HK\$30.00 per transaction (included CCASS charges)	
	Scrip Fee	HK\$2.50 per lot or part thereof (included CCASS charges)	
Bonus, Rights, Stock and Others Dividend Collection	Handling Fee	HK\$30.00 per stock/transaction	
	Scrip Fee	HK\$2.50 per lot or part thereof (included CCASS charges)	
Rights Subscription, Warrant Exercise & Others Corporate Action	Handling Fee	HK\$100.00 per stock/transaction	
	Corporate Action Fee	HK\$1.50 per lot or part thereof (included CCASS charges)	
Cash Offer / Open Offer	Handling Fee	HK\$100.00 per stock/transaction	
	Corporate Action Fee	HK\$1.50 per lot or part thereof (included CCASS charges)	
	Stamp Duty	0.1% of gross value of transaction, round up to the nearest HK\$1.00 (on behalf of the HKSAR Government)	
Proxy Voting	Handling Fee	HK\$100.00 per voting instruction	
Retrace Dividend from CCASS	Handling Fee	HK\$500.00 per stock per request (included CCASS charges), plus 0.5% of dividend amount, minimum fee of HK\$30.00 per order	
<b>Stock Settlement Related Service Fees</b>			
Securities Settlement Instruction	Handling Fee	HK\$200.00 per stock per delivery order	
	Clearing Fee	0.1% of gross value of transaction per delivery order (included CCASS charges)	
Physical Scrip Withdrawal	Handling Fee	HK\$200.00 per stock per order	
	Clearing Fee	HK\$5.00 per lot or part thereof (included CCASS charges)	
Physical Scrip Deposit	Handling Fee	HK\$30.00 per stock per order	
	Stamp Duty	HK\$5.00 per transfer deed	
Share Certificate Returned or Rejected by Registrar	HK\$200.00 per stock per order		

\* Scrip Fee - on net increase in balance in the stock since the date on which fee was last collected.

**FUND and BOND**

The following charges with corresponding currency settlement, HKD products with "HKD" settlement, CNY products with "CNY" settlement, Other products with "USD" settlement.

PARTICULARS		CHARGES	
<b>Investment Funds Transaction &amp; Service Fees</b>			
Brokerage	5% of transaction amount, minimum fee of HK\$100.00		
Securities Settlement Instruction	Handling Fee	HK\$200.00 per fund per delivery order	
	Clearing Fee	0.1% of gross value of transaction per delivery order	
<b>Bonds Transaction &amp; Service Fees</b>			
Brokerage	0.5% ~ 5% of nominal value, minimum fee of HK100.00		
Securities Settlement Instruction	Handling Fee	HK\$200.00 per stock per delivery order	
	Clearing Fee	0.2% of gross value of transaction per delivery order	
Custodian Fee	Daily nominal value x 0.025% / 365, monthly minimum fee of HK\$50.00 per account (accrued daily, collected on a monthly basis)		

**"Shanghai-HK Connect" & "Shenzhen-HK Connect"**

The following charges with "CNY/HKD" settlement

PARTICULARS		CHARGES	
<b>Transaction Fees</b>			
The following charges with "CNY" settlement			
Brokerage	0.25% of transaction amount, minimum fee of CNY100.00		
Stamp Duty	0.1% of the consideration of transaction on the sell order (on behalf of State Administration of Taxation)		
Handling Fee	0.00487% of the consideration of transaction (on behalf of SSE & SZSE)		
Securities Management Fee	0.002% of the consideration of transaction (on behalf of CSRC)		
Transfer Fee	0.004% of the consideration of transaction (on behalf of CSDC & CCASS)		
<b>Nominee Service and Corporate Action Fees</b>			
The following charges with "CNY" settlement			
Cash Dividend Collection	Dividend Tax	10% of dividend (State Administration of Taxation has been deducted at the time of distribution)	
	Handling Fee	CNY50.00 per stock/transaction	
	Clearing Fee	1% of dividend amount after deducted taxes	
Others Corporate Action	Handling Fee	CNY50.00 per stock/transaction	
<b>Stock Settlement Related Service and Other Service Fees</b>			
The following charges with "HKD" settlement			
Securities Settlement Instruction	Handling Fee	HK\$200.00 per stock per delivery order	
	Clearing Fee	0.1% of gross value of transaction per delivery order (included CCASS charges)	
Portfolio Fee	Daily portfolio value x 0.008% / 365, daily minimum fee of HK\$2.00 per account (accrued daily, collected on a monthly basis)		

## United States Securities

The following charges with "USD" settlement

PARTICULARS	CHARGES	
<b>Transaction Fees</b>		
Brokerage	0.25% of transaction amount, minimum fee of USD15.00	
SEC Fee	0.00221% of Sell transaction amount (from 13 <sup>th</sup> February 2020 US time zone)	
TAF Fee	USD0.000119 per Sell transaction share, minimum fee of USD0.01, maximum fee of USD5.95	
French Financial Transaction Tax (FTT) (Only applies to BUY transactions) (Collected for French Government)	0.3% of the net purchase amount. Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible French companies listed in US as American Depository Receipt (ADR), effective from 1 <sup>st</sup> January 2017.	
Italian Financial Transaction Tax (IFTT) (Only applies to BUY transactions) (Collected for Italian Government)	0.1% of the net purchase amount. Tax deduction from the intraday netting permitted based on the weighted average purchase price and the net purchase share quantity executed on the same day. For purchase of eligible Italian companies listed in US as American Depository Receipt (ADR), effective from 1 <sup>st</sup> January 2014.	
<b>Nominee Service and Corporate Action Fees</b>		
Cash Dividend Collection	Dividend Tax	30% of dividend (Internal Revenue Service has been deducted at the time of distribution)
	Handling Fee	USD20.00 per stock/transaction
	Clearing Fee	1% of dividend amount after deducted taxes
Others Corporate Action	Handling Fee	USD100.00 per stock/transaction
ADR Custodian Fee	Handling Fee	USD20.00 per stock/transaction
	Custodian Fee	USD0.01 ~ USD0.05 per share per book close collected by the ADR issuers
ADR/GDR Conversion	Handling Fee	USD100.00 per stock/transaction
	Corporate Action Fee	USD0.10 per unit
<b>Stock Settlement Related Service Fees</b>		
Securities Settlement Instruction	Handling Fee	USD200.00 per stock per delivery order
	Clearing Fee	0.1% of gross value of transaction per delivery order

## Taiwan Securities (provide phone order only)

The following charges with "USD/TWD" settlement

PARTICULARS	CHARGES	
<b>Transaction Fees</b>		
Brokerage	0.3% of transaction amount, minimum fee of TWD1,500	
Transaction Fee	TWD1,200 per transaction	
Transaction Tax	0.3% of gross value of Sell transaction	
Remittance Fee	TWD1,200 per Sell transaction	
<b>Nominee Service and Corporate Action Fees</b>		
Cash Dividend Collection	Dividend Tax	20% of dividend
	Handling Fee	USD20.00 per stock/transaction
	Clearing Fee	1% of dividend amount after deducted taxes
Stock Dividend Collection	USD100.00 per stock/transaction	
AGM Fee	USD100.00 per instruction	
Others Corporate Action	USD50.00 ~ USD100.00 per stock/transaction	
<b>Stock Settlement Related Service and Other Service Fees</b>		
Securities Settlement Instruction	Handling Fee	USD100.00 per security/transaction
	Clearing Fee	0.1% of gross value of transaction per delivery order
Custodian Fee	Daily portfolio value x 0.1% / 365, monthly minimum fee of USD20.00 per account (accrued daily, collected on a monthly basis)	

## Other Service Fees

The following charges with "HKD" settlement

PARTICULARS	CHARGES	
Compulsory Share Buy-Back (Only applies to "Hong Kong Stock")	Handling Fee	HK\$500.00 per stock per order
	Clearing Fee	0.6% of gross value of transaction amount (included CCASS charges)
Real Time Quote Service (Only applies to "Hong Kong Stock")	HK\$360.00 per month	
Confirmation of Account Balance	HK\$200.00 per account per certification	
Cash Account Overdue Interest	P.A. = Prevailing prime rate plus 8% (accrued daily and collected on a monthly basis)	
Securities Margin Financing Interest	P.A. = Prevailing prime rate plus 3% (accrued daily and collected on a monthly basis)	
Handling Fee for IPO Subscription	HK\$100.00 per application	
Local Remittance ( CHATS )	HK\$200.00 per order and Beneficiary Bank charges	
Overseas Remittance ( T.T. )	HK\$400.00 per order and Beneficiary Bank charges	
Penalty for Returned Cheque	HK\$200.00 / CNY250.00 / USD30.00 per cheque	

In addition to these costs, it may also involve other charges. The above charges are for reference only and are subject to change without prior notice. All disbursements and out-of-pocket expenses incurred by the **PC Securities Limited** will be charged to the accounts of the respective clients.

\*\* We just ONLY provide "Hong Kong Stock" Physical Scrip Deposit and Withdraw services.

(Updated on : 13<sup>rd</sup> January 2020)