

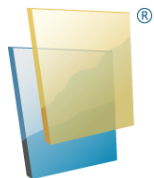
**Hong Kong Securities**

PARTICULARS		CHARGES	
<b>Securities Transaction</b>			
Brokerage	0.25% of transaction amount, minimum fee of HK\$100		
Stamp Duty	0.1% of transaction amount, round up to the nearest HK\$1 ( collected by HKSAR Government )		
Trading Fee	0.00565% of transaction amount ( collected by SEHK )		
SFC Transaction Levy	0.0027% of transaction amount ( collected by SFC )		
AFRC Transaction Levy	0.00015% of transaction amount ( collected by AFRC )		
Settlement Fee	0.005% of transaction amount, minimum fee of HK\$5 ( included CCASS charges )		
Financial Transaction Tax ( FTT ) ( Buy Order )	Total gross quantity of buy trade(s) on each trade day x weighted average purchase price x tax rate ( eligible Italian companies listed in Hong Kong, collected by Italy Government )		
<b>Nominee Service and Corporate Action</b>			
Stamp Duty	0.1% of amount collected, round up to the nearest HK\$1 ( collected by HKSAR Government )		
Scrip Fee	HK\$2.5 per lot, round up to the nearest lot ( included CCASS charges )		
( Receipt of Cash ) Collection of Dividends / Interest / Cash Offer / Privatization / Conversion of Warrants	0.5% of amount collected, minimum fee of HK\$50 per transaction ( included CCASS charges )		
Proxy Vote / ( Receipt of Securities ) Receipt of Bonus Issues / Scrip Dividends / Shares Consolidation / Shares Splitting / Open Offer / Privatization / Right Issues / Conversion of Warrants	HK\$50 per transaction		
Rights Subscription / Warrant Conversion / Accept Offer	HK\$1.5 per lot, round up to the nearest lot ( included CCASS charges )		
<b>Securities Deposit and Withdrawal</b>			
( Delivery Order ) Securities Settlement Instruction / Physical Scrip Withdrawal	HK\$7 per lot, round up to the nearest lot, minimum fee of HK\$300 per transaction for each securities ( included CCASS charges )		
Physical Scrip Deposit	Handling Fee	HK\$50 per transaction for each securities	
	Stamp Duty	HK\$5 per transfer deed ( collected by HKSAR Government )	
Share Certificate Returned or Rejected by Registrar	HK\$300 per transaction for each securities		
<b>Others</b>			
IPO Subscription	HK\$100 per application form		
"Dual Tranche, Dual Counter" Transfer	HK\$50 per transaction for each securities / ETF ( from HKD-Traded Shares / ETF to RMB-Traded Shares / ETF ) and; ( from RMB-Traded Shares / ETF to HKD-Traded Shares / ETF )		
Unclaimed Benefit Entitlement	HK\$500 per transaction for each securities ( included CCASS charges )		
	( Cash Dividend ) 0.5% of amount collected, minimum fee of HK\$50 per transaction ( included CCASS charges )		
	( Bonus Issues ) HK\$50 per transaction		
Compulsory Share Buy-Back	Handling Fee	HK\$500 per transaction for each securities	
	Settlement Fee	0.6% of transaction amount ( included CCASS charges )	
Trading Platform Real Time Quote Service	HK\$360 per month		

\* Scrip Fee - on net increase in balance in the stock since the date on which fee was last collected.

**Stock Connect Northbound Securities**

PARTICULARS		CHARGES	
<b>Securities Transaction</b>			
Brokerage	0.25% of transaction amount, minimum fee of RMB¥100		
Handling Fee	A-Share	0.00341% of transaction amount ( collected by SSE & SZSE )	
	ETF	0.004% of transaction amount ( collected by SSE & SZSE )	
Transfer Fee	A-Share	0.001% of transaction amount ( collected by ChinaClear ) and; 0.002% of transaction amount ( collected by CCASS )	
	ETF	Waived	
Securities Management Fee	A-Share	0.002% of transaction amount ( collected by CSRC )	
	ETF	Waived	
Stamp Duty ( Sell Order )	A-Share	0.05% of Sell transaction amount ( collected by STA )	
	ETF	Waived	
( Sell Order ) Capital Gain Tax	Will be collected based on the tax rate determined by STA from time to time ( if applicable )		
<b>Nominee Service and Corporate Action</b>			
Dividend Withholding Tax	10% of amount collected ( collected by STA )		
( Receipt of Cash ) Collection of Dividends / Interest / Cash Offer / Privatization / Conversion of Warrants	2% of amount collected, minimum fee of RMB¥50 per transaction ( included CCASS charges )		
Proxy Vote / ( Receipt of Securities ) Receipt of Bonus Issues / Scrip Dividends / Shares Consolidation / Shares Splitting / Open Offer / Privatization / Right Issues / Conversion of Warrants	RMB¥100 per transaction		
Rights Subscription / Warrant Conversion / Accept Offer	RMB¥1.5 per lot, round up to the nearest lot ( included CCASS charges )		
<b>Others</b>			
Securities Settlement Instruction ( Delivery Order )	HK\$7 per lot, round up to the nearest lot, minimum fee of HK\$300 per transaction for each securities ( included CCASS charges )		
Portfolio Fee	Portfolio value x 0.008% / 365, daily minimum fee of HK\$2 per account ( accrued daily, collected on a monthly basis )		



**United States Securities**

0.1 / 0.2 / 0.3

PARTICULARS	CHARGES	
<b>Securities Transaction</b>		
Brokerage	0.25% of transaction amount, minimum fee of US\$15	
SEC Fee ( Sell Order )	0.0008% of Sell transaction amount ( Start from 2023-02-27 ) ( Will be collected based on the tax rate determined by SEC from time to time )	
TA Fee ( Sell Order )	US\$0.000166 per Sell transaction share, minimum fee of US\$0.01, maximum fee of US\$8.30 ( Start from 2024-01-01 ) ( Will be collected based on the tax rate determined by FINRA from time to time )	
Financial Transaction Tax ( FTT ) ( Buy Order )	Total gross quantity of buy trade(s) on each trade day x weighted average purchase price x tax rate [ eligible Italian / Spain / French companies listed in US as American Depository Receipt ( ADR ) ]	
<b>Nominee Service and Corporate Action</b>		
Dividend Withholding Tax	30% of amount collected ( collected by IRS )	
ADR Custodian Fee	US\$0.01 ~ US\$0.05 per share for each book close ( collected by the ADR issuers )	
( Receipt of Cash ) Collection of Dividends / Interest / Cash Offer / Privatization / Conversion of Warrants	2% of amount collected, minimum fee of US\$7 per transaction	
Proxy Vote / ( Receipt of Securities ) Receipt of Bonus Issues / Scrip Dividends / Shares Consolidation / Shares Splitting / Open Offer / Privatization / Right Issues / Conversion of Warrants	US\$30 per transaction	
<b>Securities Deposit and Withdrawal</b>		
Securities Settlement Instruction ( Delivery Order )	0.2% of the market value of securities, minimum fee of US\$60 per transaction for each securities	

**FUND and BOND**

PARTICULARS	CHARGES	
Brokerage	0.5% ~ 5% of transaction amount, minimum fee of HK\$100	
Collection of Coupon / Interest	HK\$50 / US\$7 / RMB¥50 per transaction	
Securities Settlement Instruction ( Delivery Order )	0.2% of the market value of securities, minimum fee of HK\$500 / US\$70 / RMB¥500 per transaction for each securities	
Bond Custodian Fee	Portfolio value x 0.025% / 365, monthly minimum fee of HK\$50 per account ( accrued daily, collected on a monthly basis )	

**Other Services**

PARTICULARS	CHARGES	
( CHATS ) Local Remittance	HK\$250 / US\$30 / RMB¥250 per transaction and Beneficiary Bank charges	
Overseas Remittance	HK\$480 / US\$60 / RMB¥480 per transaction and Beneficiary Bank charges	
Cash withdrawal rejected by beneficiary bank / Returned Cheque	HK\$300 / US\$40 / RMB¥300 per transaction	
Account Balance Certificate	HK\$300 / US\$40 / RMB¥300 per account per certification	
Cash Account Overdue Interest	P.A. = Prevailing prime rate plus 8% ( accrued daily and collected on a monthly basis )	
Securities Margin Financing Interest	P.A. = Prevailing prime rate plus 3% ( accrued daily and collected on a monthly basis )	
Transfer of Securities ( involving change of beneficial ownership ) Both parties' accounts should be the securities account of our firm	Handling Fee	( Paid by Transferor and Transferee each ) 0.2% of the value of securities transferred, minimum fee of HK\$500 / US\$70 / RMB¥500 per transaction for each securities
	Stamp Duty	( Paid by Transferor and Transferee each ) 0.1% of the value of securities transferred, round up to the nearest HK\$1 ( collected by HKSAR Government )

In addition to these costs, it may also involve other charges. The above charges are for reference only and are subject to change without prior notice. All disbursements and out-of-pocket expenses incurred by the **PC Securities Limited** will be charged to the accounts of the respective clients

\*\* About physical scrip, we ONLY provide "Hong Kong Stock" physical scrip deposit and withdrawal services.

( Updated on : 2<sup>nd</sup> January 2024 )