



Hong Kong Securities

PARTICULARS	CHARGES	
Securities Transaction		
Brokerage	0.25% of transaction amount, minimum fee of HK\$100	
Stamp Duty	0.1% of transaction amount, round up to the nearest HK\$1 (collected by HKSAR Government)	
Trading Fee	0.00565% of transaction amount (collected by SEHK)	
SFC Transaction Levy	0.0027% of transaction amount (collected by SFC)	
AFRC Transaction Levy	0.00015% of transaction amount (collected by AFRC)	
Settlement Fee	0.005% of transaction amount, minimum fee of HK\$5 (included CCASS charges)	
Financial Transaction Tax (FTT) (Buy Order)	Total gross quantity of buy trade(s) on each trade day x weighted average purchase price x tax rate (eligible Italian companies listed in Hong Kong, collected by Italy Government)	
Nominee Service and Corporate Action		
Stamp Duty	0.1% of amount collected, round up to the nearest HK\$1 (collected by HKSAR Government)	
Scrip Fee	HK\$2.5 per lot, round up to the nearest lot (included CCASS charges)	
Cash Dividend Collection Fee	0.5% of amount collected, minimum fee of HK\$50 per transaction (included CCASS charges)	
Bonus Shares Collection Fee	HK\$1.5 per lot, round up to the nearest lot, minimum fee of HK\$50 per transaction (included CCASS charges)	
Corporate Action Fee for Rights Offering, Warrants and CBBC	HK\$2.5 per lot, round up to the nearest lot, minimum fee of HK\$100 per transaction (included CCASS charges)	
Handling Fee for Proxy Vote, Shares Consolidation and Splitting	HK\$50 per transaction	
Maturity Redemption of SEHK Listed Notes / Bonds	0.2% of redemption amount	
Securities Deposit and Withdrawal		
Securities Settlement Instruction / Physical Scrip Withdrawal (Delivery Order)	HK\$7.5 per lot, round up to the nearest lot, minimum fee of HK\$500 per transaction for each securities (included CCASS charges)	
Physical Scrip Deposit	Handling Fee	HK\$50 per transaction for each securities
	Stamp Duty	HK\$5 per transfer deed (collected by HKSAR Government)
Share Certificate Returned or Rejected by Registrar	HK\$500 per transaction for each securities	
Others		
IPO Subscription	HK\$100 / US\$15 / RMB¥100 per application form (fee waived if apply online)	
“Dual Tranche, Dual Counter” Transfer	HK\$50 per transaction for each securities / ETF (from HKD-Traded Shares / ETF to RMB-Traded Shares / ETF) and; (from RMB-Traded Shares / ETF to HKD-Traded Shares / ETF)	
Unclaimed Benefit Entitlement	HK\$1,000 per transaction for each securities (payable irrespective of whether recovery is successful or not)	
	(Cash Dividend) 10% of amount collected	
	(Bonus Shares) HK\$2.5 per lot, round up to the nearest lot	
Trading Platform Real Time Quote Service	HK\$360 per month	

* Scrip Fee - on net increase in balance in the stock since the date on which fee was last collected.

Stock Connect Northbound Securities

PARTICULARS		CHARGES
Securities Transaction		
Brokerage	0.25% of transaction amount, minimum fee of RMB¥100	
Handling Fee	A-Share	0.00341% of transaction amount (collected by SSE & SZSE)
	ETF	0.004% of transaction amount (collected by SSE & SZSE)
Transfer Fee	A-Share	0.001% of transaction amount (collected by ChinaClear) and; 0.002% of transaction amount (collected by CCASS)
	ETF	Waived
Securities Management Fee	A-Share	0.002% of transaction amount (collected by CSRC)
	ETF	Waived
(Sell Order) Stamp Duty	A-Share	0.05% of Sell transaction amount (collected by SAT)
	ETF	Waived
Nominee Service and Corporate Action		
Dividend Withholding Tax	10% of amount collected (Will be collected based on the tax rate determined by SAT from time to time) (collected by SAT)	
Cash Dividend Collection Fee	2% of amount collected, minimum fee of RMB¥50 per transaction (included CCASS charges)	
Bonus Shares Collection Fee	RMB¥2.5 per lot, round up to the nearest lot, minimum fee of RMB¥50 per transaction (included CCASS charges)	
Corporate Action Fee	RMB¥100 per transaction (included CCASS charges)	
Others		
Securities Settlement Instruction (Delivery Order)	HK\$7.5 per lot, round up to the nearest lot, minimum fee of HK\$500 per transaction for each securities (included CCASS charges)	
Portfolio Fee	Portfolio value x 0.008% / 365, daily minimum fee of HK\$2 per account (accrued daily, collected on a monthly basis)	



United States Securities

0.1 / 0.2 / 0.3

PARTICULARS	CHARGES
Securities Transaction	
Brokerage	0.25% of transaction amount, minimum fee of US\$15
SEC Fee (Sell Order)	0.00278% of Sell transaction amount (Start from 2024-05-20) (Will be collected based on the tax rate determined by SEC from time to time)
(Trading Activity Fee) TA Fee (Sell Order)	US\$0.000166 per Sell transaction share, minimum fee of US\$0.01, maximum fee of US\$8.30 (Start from 2024-01-01) (Will be collected based on the tax rate determined by FINRA from time to time)
Financial Transaction Tax (FTT) (Buy Order)	Total gross quantity of Buy transaction(s) on each trade day x weighted average purchase price x tax rate [eligible Italian / Spain / French companies listed in US as American Depository Receipt (ADR)]
OTC Transaction Fee	Additional charges for dual listed, US OTC and DTC non-eligible counters trade, maximum fee of US\$75 per transaction
Nominee Service and Corporate Action	
Dividend Withholding Tax	30% of amount collected (collected by IRS)
Cash Dividend Collection Fee	2% of amount collected, minimum fee of US\$7 per transaction
Bonus Shares Collection Fee	US\$ 7 per transaction
Corporate Action Fee	US\$ 7 ~ US\$30 per transaction
ADR Custodian Fee	US\$0.01 ~ US\$0.05 per share for each book close (collected by the ADR issuers)
Securities Deposit and Withdrawal	
Securities Settlement Instruction (Delivery Order)	0.2% of the market value of securities, minimum fee of US\$70 per transaction for each securities

FUND and BOND

PARTICULARS	CHARGES
Brokerage	0.5% ~ 5% of transaction amount, minimum fee of HK\$100
Cash Dividend Collection Fee	HK\$80 / US\$10 / RMB¥80 per transaction
Dividend Reinvestment	
Securities Settlement Instruction (Delivery Order)	0.2% of the market value of securities, minimum fee of HK\$500 / US\$70 / RMB¥500 per transaction for each securities
Bond Custodian Fee	Portfolio value x 0.035% / 365, monthly minimum fee of HK\$50 per account (accrued daily, collected on a monthly basis)

Other Services

PARTICULARS	CHARGES
(CHATS) Local Remittance	HK\$250 / US\$30 / RMB¥250 per transaction and Beneficiary Bank charges
Overseas Remittance	HK\$480 / US\$60 / RMB¥480 per transaction and Beneficiary Bank charges
Cash withdrawal rejected by beneficiary bank / Returned Cheque	HK\$300 / US\$40 / RMB¥300 per transaction
Account Balance Certificate	HK\$300 / US\$40 / RMB¥300 per account per certification
Cash Account Overdue Interest	P.A. = Prevailing prime rate plus 8% (accrued daily and collected on a monthly basis)
Securities Margin Financing Interest	P.A. = Prevailing prime rate plus 3% (accrued daily and collected on a monthly basis)
Transfer of Securities (from one client to another) (involving change of beneficial ownership) (Both parties' accounts should be) (the securities account of our firm)	Handling Fee (Paid by Transferor and Transferee each) 0.2% of the value of securities transferred, minimum fee of HK\$500 / US\$70 / RMB¥500 per transaction for each securities
	Stamp Duty (Paid by Transferor and Transferee each) 0.1% of the value of securities transferred, round up to the nearest HK\$1 (collected by HKSAR Government)
	Withdrawal Fee (Paid by Transferor) HK\$7.5 per lot for each securities, round up to the nearest lot.
Audit Confirmation	Electronic version HK\$300 / US\$40
	Paper version HK\$500 / US\$70

In addition to these costs, it may also involve other charges. The above charges are for reference only and are subject to change without prior notice. All disbursements and out-of-pocket expenses incurred by the **PC Securities Limited** will be charged to the accounts of the respective clients

** About physical scrip, we ONLY provide "Hong Kong Stock" physical scrip deposit and withdrawal services.

(Updated on : 1st December 2024)