



致 / To: 寶鉅證券有限公司  
PC Securities Limited  
香港铜锣湾希慎道 18 号利园五期 11 楼  
11/F, Lee Garden Five, 18 Hysan Avenue, Causeway Bay, Hong Kong

Client Name 客户名称	Account Number 帐户号码
---------------------	------------------------

Pursuant to the Securities and Futures (Professional Investor) Rules (Cap 571D of the law of Hong Kong), if the client fails to satisfy the asset requirements as stipulated, will not be treated as Professional Investor.

根据《证券和期货（专业投资者）规则》（香港法例第 571D 章），如客户未能达至规定的资产要求，将不会被视作专业投资者。

**Client's Portfolio 客户的投资组合**

1. Having a portfolio of not less than HK\$8 million or equivalent/total assets of not less than HK\$40 million under the Securities and Futures (Professional Investor) Rules

根据《证券及期货（专业投资者）规则》，拥有投资组合不少于 800 万港元或等值/总资产不少于 4000 万港元

(i) a certificate issued by an auditor or professional account within the past 3 months; or  
在过去 3 个月内由审计师或专业账户发出的证书；或

(ii) one or more custodian statements issued within the past 3 months.  
在过去 3 个月内发出的一份或多份保管人结单。

2. Details of any other special circumstances/information:

其他特殊情况/数据的详细信息：

---



---

**Signature 签署**

Signature of Account Main Holder  
帐户主要持有人 签署

X

Date 日期

Signature of Account Second Holder (if applicable)  
帐户第二持有人签署 (如适用)

X

Date 日期

Note: Please pass the completed Annual Renewal Memo and supporting documents to PC Securities Limited.  
备注：请将完整的年度更新备忘录及证明文件，交回寶鉅證券有限公司

FOR OFFICE USE ONLY					
Process Date: _____		Received Date: _____			
Does the client fulfill the criteria to be treated as a Professional Investor					<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Signature of Approving Officer			Name of Approving Officer		Date
Remark					
Signature Verified by	F.O. Marker	F.O. Checker	B.O. Maker	B.O. Checker	R.O.