



Client Name 客戶名稱	Account Number 賬戶號碼
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I/We would like to reactivate my/our account and hereby provide the following information for update/verification
本人/吾等欲重新啟動本人/吾等的不動賬戶，本人/吾等謹此提供以下資料以作更新/核實

Account Holder's Information 賬戶持有人的資料					
Mobile Phone No. 手提電話號碼	Country Code 國家編碼	Area Code 區域編碼	Phone Number 電話號碼	Nationality 國籍	
Other Contact Phone No. 其他聯絡電話號碼	Country Code 國家編碼	Area Code 區域編碼	Phone Number 電話號碼	Fax No. 傳真號碼	
E-mail Address 電郵地址					
Residence Address* 居住地址			Correspondence Address (if different from the "Residence Address")* 通訊地址 (如與「居住地址」不同，請填此欄)		
* Address proof is required & P.O. box not accepted 必須提供地址證明 及 不接受郵政信箱					
Educational Background 教育程度 (Please Select One Only of the following 請只選擇以下一項)					
<input type="checkbox"/> (P) Primary School 小學		<input type="checkbox"/> (S) Secondary School 中學		<input type="checkbox"/> (O) Others, please specify 其他，請註明：_____	
<input type="checkbox"/> (C) College 大專院校		<input type="checkbox"/> (U) University or above 大學或以上			
** "Vulnerable Customer Declaration Form" is required if Account Holder is uneducated or ticked "Primary School" 如賬戶主要申請人沒有接受過基礎教育或勾選「小學」，需提交「易受損客戶聲明書」					
Employment Status 就業情況 (Please Select One Only of the following 請只選擇以下一項)					
<input type="checkbox"/> (E) Full-time employed 全職		<input type="checkbox"/> (E) Part-time employed 兼職		<input type="checkbox"/> (O) Others, please specify 其他，請註明：_____	
<input type="checkbox"/> (S) Self-employed 自僱		<input type="checkbox"/> (R) Retired 已退休			
** "Anti-Money Laundering Enhanced Customer Due Diligence" is required if ticked "Retired" or "Others" 如勾選「已退休」或「其他」，需提交「附加客戶身份詳盡查核表」					
Nature of Occupancy 住宅類別 (Please Select One Only of the following 請只選擇以下一項)					
<input type="checkbox"/> Owned (No Mortgage) 自置 (無按揭)		<input type="checkbox"/> Quarters 宿舍		<input type="checkbox"/> Rented/Monthly Rental 租用/每月租金 \$ _____	
<input type="checkbox"/> Live with Parents 與父母同住		<input type="checkbox"/> Relative's apartment 親屬樓宇		<input type="checkbox"/> Mortgaged/Monthly Installment 按揭/每月供款 \$ _____	
Name of Employer 僱主名稱				Nature of Business 業務性質	
Office Tel. No. 公司電話號碼	Country Code 國家編碼	Area Code 區域編碼	Phone Number 電話號碼	Year(s) with Existing Employer 已任職年期	Position 職位
(Address proof is NOT required 不須提供地址證明) Office Address 公司地址					

FATCA Identity Declaration FATCA 身份聲明		Please tick the appropriate box below 請勾選下面相應的方格	
(1) US citizenship/tax residency (e.g. US passport/Green Card holder, U.S. taxpayer, etc.) 美國公民/繳納美國稅務的居民 (如美國護照/綠卡持有者、美國納稅人等)	<input type="checkbox"/>	Yes 是	<input type="checkbox"/> No 否
(2) US place of birth 美國出生地	<input type="checkbox"/>	Yes 是	<input type="checkbox"/> No 否
(3) US address (e.g. residential address, mailing address, P.O. box) 美國地址 (如居住地址、郵寄地址、郵政信箱)	<input type="checkbox"/>	Yes 是	<input type="checkbox"/> No 否
(4) US telephone number (e.g. home, work, mobile, fax numbers) 美國電話號碼 (如住宅、工作、行動、傳真號碼)	<input type="checkbox"/>	Yes 是	<input type="checkbox"/> No 否
(5) Standing instructions to pay amounts from PC Securities Limited to an account maintained in the US 從寶鉅證券有限公司向某美國銀行賬戶發出常設的款項轉賬指示	<input type="checkbox"/>	Yes 是	<input type="checkbox"/> No 否
Do you possess any of the above U.S. indicia ? 您是否擁有以上任何一項美國指標？			
Please Select One Only of the following 請只選擇以下一項			
<input type="checkbox"/> US Individual 美國人士	OR	<input type="checkbox"/> Non-US Individual with US indicia 非美國人士，但具有美國指標	<input type="checkbox"/> Non-US Individual with no US indicia (W-8BEN Form is required) 非美國人士，及沒有美國指標 (需提交「W-8BEN」表格)



Account Holder's Financial Profile and Investment Objective 賬戶持有人的財務記錄及投資目標

The Company is required to obtain this information to fulfill the "know-your-client requirements" of the Securities and Futures Commission of HK. 本公司根據香港證券及期貨事務監察委員會所製定的「認識你的客戶要求」而索取有關資料。

Estimated Annual Income 估計年薪 (HK\$港元) (Please Select One Only of the following 請只選擇以下一項)

- (1) Under HK\$200,000 以下 (2) HK\$200,000 - HK\$500,000 (3) HK\$500,001 - HK\$1,000,000
 (4) HK\$1,000,001 - HK\$5,000,000 (5) Above HK\$5,000,000 以上

Approximate Net Asset Value 估計資產淨值 (Please Select One Only of the following 請只選擇以下一項)
(Total Assets 總資產 - Total Liabilities 總負債) (HK\$港元)

- (L) Under HK\$500,000 以下 (L) HK\$500,000 - HK\$3,000,000 (M) HK\$3,000,001 - HK\$8,000,000
 (M) HK\$8,000,001 - HK\$30,000,000 (H) Above HK\$30,000,000 以上

Source of Income 收入來源 (Please Select One Only of the following 請只選擇以下一項)

- (1) Salary 工資 (2) Savings 儲蓄 (3) Investment 投資
 (5) Commission 佣金 (5) Business Profit 商業利潤 Others 其他: _____

Investment Objectives 投資目標 (Please Select One Only of the following 請只選擇以下一項)

- (2) Capital Growth 資本增值 (3) Dividend Income 股息收入 (1) Hedging 對沖 (5) Speculation 投機 (4) Others 其他: _____

Investment Horizon 投資目標週期 (Please Select One Only of the following 請只選擇以下一項)

- Long Term over 2 years 長線超過二年 Medium Term 6-24 months 中線六至二十四個月 Short Term less than 6 months 短線少於六個月

Account Holder's Disclosure of Identity and Related Account(s) 賬戶持有人的披露身份及關連賬戶

Are you a licensed/registered securities or commodities professional, or an employee of a licensed/registered person (e.g. employee of a brokerage firm/bank, etc.) with the Securities and Futures Commission ("SFC")? 閣下是否為證券及期貨事務監察委員會的持牌/註冊證券或期貨從業員，或其持牌/註冊機構(如經紀行/銀行等)之僱員?

- No 否 Yes 是 (please specify 請註明): _____

** "Consent Letter" is required if ticked "Yes" 如勾選「是」，需提交「同意書」

Are you the ultimate beneficial owner(s) of the account? (The information is required by The SFC's Client Identity Rule Policy)

閣下是否此賬戶之最終實益擁有人? (所需資料是根據香港證券及期貨事務監察委員會所製定的客戶身份規則的政策摘要)

- Yes 是 No 否 (please provide details of the ultimate beneficial owner(s) 請提供最終實益擁有人的詳細資料): _____

Name 姓名 _____ ID No. / Passport No. 身份證號碼/護照號碼 _____

Date of Birth 出生日期 (dd/mm/yyyy 日/月/年) _____ Nationality 國籍 _____ Contact No. 聯絡電話 _____

Address 地址 _____

** Please provide ID/Passport & address proof copies of the ultimate beneficial owner(s) 請提供最終實益擁有人的身份證/護照及地址證明副本

Do you maintain any other account(s) with PC Securities Limited? 閣下現時是否已持有其他寶鉅證券有限公司之賬戶?

- No 否 Yes 是 Name 姓名 _____ Account No. 賬戶號碼 _____

Do you have any relative(s) working in PC Securities Limited? 閣下的親屬是否寶鉅證券有限公司之員工?

- No 否 Yes 是 Name 姓名 _____ Account No. 賬戶號碼 _____

Do you have any relative(s) acting as director or being shareholder of PC Securities Limited? 閣下的親屬是否寶鉅證券有限公司的董事或股東?

- No 否 Yes, please provide details 是，請提供詳細資料 _____

Joint Account – Signing Arrangement 聯名賬戶 - 簽署安排

You decide the valid documents in connection with the operation (including cash withdrawal and assets transfer) of your account must be signed by:

閣下決定所有賬戶操作 (包括提款及資產轉移) 的有效文件必須由:

(Please Select One Only of the following 請只選擇以下一項)

- Both of account holders 兩位賬戶持有人共同簽署。 Any one of account holders 其中一位賬戶持有人簽署。

Declaration 聲明

I/We have read and understand the terms and conditions of trading, related risk statements and schedule fee.

本人 / 吾等已閱讀及明白客戶交易主要文件內的條款、條件、有關的風險披露聲明書及收費表。

Applicant(s) Signature 申請人簽署

Signature(s) of Account Main Holder (and Account Second Holder if applicable)

賬戶主要持有人 (及 賬戶第二持有人，如適用) 簽署

X

[Please use signature filed with us 簽署須與本公司之記錄相符]

Process Date : _____		FOR OFFICE USE ONLY			Received Date : _____	
Remark _____						
Signature Verified by	F.O. Checker	B.O. Maker	B.O. Checker	R.O.		