



致 / To: 寶鉅證券有限公司  
PC Securities Limited  
香港銅鑼灣希慎道 18 號利園五期 11 樓  
11/F, Lee Garden Five, 18 Hysan Avenue, Causeway Bay, Hong Kong

Client Name 客戶名稱	Account Number 帳戶號碼
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Pursuant to the Securities and Futures (Professional Investor) Rules (Cap 571D of the law of Hong Kong), if the client fails to satisfy the asset requirements as stipulated, will not be treated as Professional Investor.

根據《證券及期貨（專業投資者）規則》（香港法例第 571D 章），如客戶未能達至規定的資產要求，將不會被視為專業投資者。

**Client's Portfolio 客戶的投資組合**

1. Having a portfolio of not less than HK\$8 million or equivalent/total assets of not less than HK\$40 million under the Securities and Futures (Professional Investor) Rules

根據《證券及期貨（專業投資者）規則》，擁有投資組合不少於 800 萬港元或等值/總資產不少於 4000 萬港元

(i) a certificate issued by an auditor or professional account within the past 3 months; or  
在過去 3 個月內由審計師或專業帳戶發出的證書；或

(ii) one or more custodian statements issued within the past 3 months.  
在過去 3 個月內發出的一份或多份保管人結單。

2. Details of any other special circumstances/information :  
其他特殊情況/資料的詳細資訊：

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**Signature 簽署**

Signature of Account Main Holder 帳戶主要持有人 簽署 X	Date 日期
Signature of Account Second Holder (if applicable) 帳戶第二持有人簽署 (如適用) X	Date 日期

Note : Please pass the completed Annual Renewal Memo and supporting documents to PC Securities Limited.  
備註：請將完整的年度更新備忘錄及證明文件，交回寶鉅證券有限公司

FOR OFFICE USE ONLY					
Process Date : _____			Received Date : _____		
Does the client fulfill the criteria to be treated as a Professional Investor					<input type="checkbox"/> Yes 是 <input type="checkbox"/> No 否
Signature of Approving Officer				Name of Approving Officer	
				Date	
Remark					
Signature Verified by	F.O. Marker	F.O. Checker	B.O. Maker	B.O. Checker	R.O.