



PC Securities Limited
寶鉅證券有限公司

HKSFC (CE No. BDC354)
HKEX Participant (OTP-C No. 5579)
證券及期貨事務監察委員會持牌法團 (中央編號 : BDC354)
香港聯合交易所有限公司參與者 (經紀代號 : 5579)

9 June 2022
2022 年 6 月 9 日

Dear Valued Customer :

尊敬的客戶 :

Important notice of implementation of the investor identification regime and over-the-counter securities transaction reporting regime in Hong Kong
有关实施香港投资者识别码制度及场外证券交易汇报制度之重要通知

Thank you for your support to PC Securities !

感谢閣下一直以来对寶鉅證券的支持 !

Please be informed that the Securities and Futures Commission ("SFC") will launch and implement the investor identification regime ("HKIDR") in the second half of 2022 and the over-the-counter securities transaction reporting regime ("OTCR") in the first half of 2023 in Hong Kong[^] respectively (the "new regime").

茲通知證券及期貨事務監察委員會(「证监会」)將於 2022 年下半年實施香港投資者識別碼制度 ("HKIDR") 及於 2023 年上半年實施场外證券交易匯報制度("OTCR")[^] (「新匯報制度」)。

Under the new regime, customers are required to provide their written consent to assign a unique Broker-Client Assigned Number ("BCAN") so as to enable PC Securities Limited to provide to the Stock Exchange of Hong Kong ("SEHK") together with their Client Identification Data ("CID"), including Customer' s Name, ID Issuing Country, ID Type & ID Number for processing their securities orders. PC Securities Limited will submit customer' s BCAN with their securities order or share withdraw/deposit instruction to the SEHK and/or SFC for reporting. Customers who have not provided this consent will still be able to sell/withdraw their securities but will not be able to purchase/deposit securities until they do so.

于新匯報制度下，客戶須向寶鉅證券有限公司提交書面同意聲明，本行才可為客戶編配專屬的券商客戶編碼，並與客戶的識別信息配對(包括客戶名稱、身份證明文件的簽發國家、身份證明文件的類別及號碼)，以向聯交所提交配對檔案。

Member of PCSFG 寶鉅證券金融集團成員

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寶鉅證券有限公司須將客戶之券商客戶編碼附加於客戶的股票買賣交易指令或股票提存指示，以向聯交所及/或证监会作出申報。未有提交同意聲明的客戶將只可沽出/提取其股票而不能買入/存入股票，直至提交同意聲明為止。

Please browse **PC Securities Limited's website > Download > Account Opening Forms and Related Documents**, to complete and submit the "the Hong Kong Investor Identification Regime ("HKIDR") and Over-the-counter Securities Transactions Reporting Regime ("OTCR") - Personal Information Collection Statement" ("Consent Form") and return the completed form to us.

閣下可於寶鉅證券有限公司網頁 > 下載專區 > 開戶表格及相關文件內，下載「香港投資者識別碼制度("HKIDR")及場外證券交易匯報制度("OTCR")之個人資料收集聲明」，簽署並交回本行辦理。

Should there be any inquiries, please feel free to contact our Customer Service Hotline at (852) 3950 3288.

如有任何查詢，歡迎致電寶鉅證券客戶服務部：(852) 3950 3288。

^ 確實日期有待证监会公布為準，有關詳情請參閱证监会網站：

www.sfc.hk/tc/Rules-and-standards/Investor-Identification-and-OTC-securities-reporting

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