

## Hong Kong Securities

PARTICULARS	CHARGES	
<b>Securities Transaction</b>		
Brokerage	0.25% of transaction amount, minimum fee of HK\$100 or equivalent	
Stamp Duty	0.1% of transaction amount, round up to the nearest HK\$1 or equivalent ( collected by HKSAR Government )	
Trading Fee	0.00565% of transaction amount ( collected by SEHK )	
SFC Transaction Levy	0.0027% of transaction amount ( collected by SFC )	
AFRC Transaction Levy	0.00015% of transaction amount ( collected by AFRC )	
Settlement Fee	0.0042% of transaction amount ( collected by CCASS )	
Financial Transaction Tax ( FTT ) ( Buy Order )	Total gross quantity of buy trade(s) on each trade day x weighted average purchase price x tax rate ( eligible Italian companies listed in Hong Kong, collected by Italy Government )	
<b>Nominee Service and Corporate Action</b>		
Stamp Duty	0.1% of amount collected, round up to the nearest HK\$1 or equivalent ( collected by HKSAR Government )	
Scrip Fee	HK\$2.5 or equivalent per lot, round up to the nearest lot ( included CCASS charges )	
Cash Dividend Collection Fee	0.5% of amount collected, minimum fee of HK\$50 or equivalent per transaction ( included CCASS charges )	
Bonus Shares Collection Fee	HK\$1.5 or equivalent per lot, round up to the nearest lot, minimum fee of HK\$50 or equivalent per transaction ( included CCASS charges )	
Corporate Action Fee for Rights Offering, Warrants and CBBC	HK\$2.5 or equivalent per lot, round up to the nearest lot, minimum fee of HK\$100 or equivalent per transaction ( included CCASS charges )	
Handling Fee for Proxy Vote, Shares Consolidation and Splitting	HK\$50 or equivalent per transaction ( included CCASS charges )	
Maturity Redemption of SEHK Listed Notes / Bonds	0.2% of redemption amount ( included CCASS charges )	
<b>Securities Deposit and Withdrawal</b>		
Securities Settlement Instruction / Physical Scrip Withdrawal ( Delivery Order )	HK\$7.5 or equivalent per lot, round up to the nearest lot, minimum fee of HK\$550 or equivalent per transaction for each securities ( included CCASS charges )	
Physical Scrip Deposit	Handling Fee	HK\$50 or equivalent per transaction for each securities
	Stamp Duty	HK\$5 or equivalent per transfer deed ( collected by HKSAR Government )
Share Certificate Returned or Rejected by Registrar	HK\$550 or equivalent per transaction for each securities	
<b>Others</b>		
IPO Subscription	HK\$100 / US\$15 / RMB¥100 per application form ( fee waived if apply online )	
Unclaimed Benefit Entitlement	HK\$1,000 or equivalent per transaction for each securities ( payable irrespective of whether recovery is successful or not )	
	( Cash Dividend ) 10% of amount collected ( included CCASS charges )	
	( Bonus Shares ) HK\$2.5 or equivalent per lot, round up to the nearest lot ( included CCASS charges )	
Trading Platform Real Time Quote Service	HK\$360 or equivalent per month	

\* Scrip Fee - on net increase in balance in the stock since the date on which fee was last collected.

## Stock Connect Northbound Securities

PARTICULARS	CHARGES	
<b>Securities Transaction</b>		
Brokerage	0.25% of transaction amount, minimum fee of RMB¥100	
Handling Fee	A-Share	0.00341% of transaction amount ( collected by SSE & SZSE )
	ETF	0.004% of transaction amount ( collected by SSE & SZSE )
Transfer Fee	A-Share	0.001% of transaction amount ( collected by ChinaClear ) and; 0.002% of transaction amount ( collected by CCASS )
	ETF	Waived
Securities Management Fee	A-Share	0.002% of transaction amount ( collected by CSRC )
	ETF	Waived
( Sell Order ) Stamp Duty	A-Share	0.05% of Sell transaction amount ( collected by SAT )
	ETF	Waived
<b>Nominee Service and Corporate Action</b>		
Dividend Withholding Tax	10% of amount collected ( Will be collected based on the tax rate determined by SAT from time to time ) ( collected by SAT )	
Cash Dividend Collection Fee	2% of amount collected, minimum fee of RMB¥50 per transaction ( included CCASS charges )	
Bonus Shares Collection Fee	RMB¥2.5 per lot, round up to the nearest lot, minimum fee of RMB¥50 per transaction ( included CCASS charges )	
Corporate Action Fee	RMB¥100 per transaction ( included CCASS charges )	
<b>Others</b>		
Securities Settlement Instruction ( Delivery Order )	HK\$7.5 or equivalent per lot, round up to the nearest lot, minimum fee of HK\$550 or equivalent per transaction for each securities ( included CCASS charges )	
Portfolio Fee	Portfolio value x 0.008% / 365, daily minimum fee of HK\$2 or equivalent per account ( accrued daily, collected on a monthly basis )	

## United States Securities

0.1 / 0.2 / 0.3

PARTICULARS	CHARGES
<b>Securities Transaction</b>	
Brokerage	0.25% of transaction amount, minimum fee of US\$15
SEC Fee ( Sell Order )	0.00206% of Sell transaction amount ( Start from 2026-04-04 ) ( Will be collected based on the tax rate determined by SEC from time to time )
( Trading Activity Fee ) TA Fee ( Sell Order )	US\$0.000166 per Sell transaction share, minimum fee of US\$0.01, maximum fee of US\$8.30 ( Start from 2024-01-01 ) ( Will be collected based on the tax rate determined by FINRA from time to time )
Financial Transaction Tax ( FTT ) ( Buy Order )	Total gross quantity of Buy transaction(s) on each trade day x weighted average purchase price x tax rate [ eligible Italian / Spain / French companies listed in US as American Depository Receipt ( ADR ) ]
OTC Transaction Fee	Additional charges for dual listed, US OTC and DTC non-eligible counters trade, maximum fee of US\$75 per transaction
<b>Nominee Service and Corporate Action</b>	
Dividend Withholding Tax	30% of amount collected ( collected by IRS )
Cash Dividend Collection Fee	2% of amount collected, minimum fee of US\$7 per transaction
Bonus Shares Collection Fee	US\$ 7 per transaction
Corporate Action Fee	US\$ 7 ~ US\$30 per transaction
ADR Custodian Fee	US\$0.01 ~ US\$0.05 per share for each book close ( collected by the ADR issuers )
<b>Securities Deposit and Withdrawal</b>	
Securities Settlement Instruction ( Delivery Order )	0.2% of the market value of securities, minimum fee of US\$70 per transaction for each securities

## FUND and BOND

PARTICULARS	CHARGES
Brokerage	0.5% ~ 5% of transaction amount, minimum fee of HK\$250 / US\$30 / RMB¥250
Cash Dividend Collection Fee	HK\$80 / US\$10 / RMB¥80 per transaction
Dividend Reinvestment	
Securities Settlement Instruction ( Delivery Order )	0.2% of the market value of securities, minimum fee of HK\$550 / US\$70 / RMB¥550 per transaction for each securities
Bond Custodian Fee	Portfolio value x 0.035% / 365, monthly minimum fee of HK\$50 or equivalent per account ( accrued daily, collected on a monthly basis )

## Other Services

PARTICULARS	CHARGES	
( CHATS ) Local Remittance	HK\$250 / US\$30 / RMB¥250 per transaction and Beneficiary Bank charges	
Overseas Remittance	HK\$480 / US\$60 / RMB¥480 per transaction and Beneficiary Bank charges	
Cash withdrawal rejected by beneficiary bank / Returned Cheque	HK\$300 / US\$40 / RMB¥300 per transaction	
Account Balance Certificate	HK\$300 / US\$40 / RMB¥300 per account per certification	
Cash Account Overdue Interest	P.A. = Prevailing prime rate plus 8% ( accrued daily and collected on a monthly basis )	
Securities Margin Financing Interest	P.A. = Prevailing prime rate plus 3% ( accrued daily and collected on a monthly basis )	
Transfer of Securities ( from one client to another ) ( involving change of beneficial ownership ) ( Both parties' accounts should be ) ( the securities account of our firm )	Handling Fee ( Paid by Transferor and Transferee each ) 0.2% of the value of securities transferred, minimum fee of HK\$550 / US\$70 / RMB¥550 per transaction for each securities	
	Stamp Duty ( Paid by Transferor and Transferee each ) 0.1% of the value of securities transferred, round up to the nearest HK\$1 or equivalent ( collected by HKSAR Government )	
	Withdrawal Fee ( Paid by Transferor ) ( if applicable ) HK\$7.5 or equivalent per lot, round up to the nearest lot, minimum fee of HK\$550 or equivalent per transaction for each securities ( included CCASS charges )	
Audit Confirmation	Electronic version	HK\$300 / US\$40
	Paper version	HK\$550 / US\$70

In addition to these costs, it may also involve other charges. The above charges are for reference only and are subject to change without prior notice. All disbursements and out-of-pocket expenses incurred by the **PC Securities Limited** will be charged to the accounts of the respective clients

\*\* About physical scrip, we ONLY provide "Hong Kong Stock" physical scrip deposit and withdrawal services.

( Updated on : 4<sup>th</sup> April 2026 )